

**APPROVAL OF AMENDMENTS TO THE 2016-2017
UNIFIED PLANNING WORK PROGRAM (UPWP)**

Background

The 2016-2017 Unified Planning Work Program (UPWP) describes the transportation plans and related air quality planning activities that will be undertaken in the region during fiscal years 2016 and 2017 (October 1, 2016 – September 30, 2017). Through the development of the UPWP, changes in regional transportation planning priorities are identified. The current 2016-2017 UPWP was initially adopted by the Transportation Policy Council (TPC) on July 24, 2015.

Current Situation

This set of amendments proposes to do the following:

1. Correct budgeted personnel expense in Subtask 3.1 that was erroneously omitted from previous TPC meeting materials;
2. Amend the description and funding amount of the Port Area Mobility Study in Subtask 5.3.

Action Requested

The Technical Advisory Committee recommended TPC approval of this amendment at its September 14, 2016 meeting. Staff requests approval of UPWP Resolution No. 16/17 UPWP-8.



Resolution

No. 16/17 UPWP-8

ADOPTING AMENDMENTS TO THE 2016-2017 UNIFIED PLANNING WORK PROGRAM FOR THE HOUSTON-GALVESTON TRANSPORTATION MANAGEMENT AREA.

WHEREAS, the Transportation Policy Council adopted the 2016-2017 Unified Planning Work Program (UPWP) on July 24, 2015 and amended it on November 20, 2015, January 22, 2016, February 26, 2016, March 16, 2016, April 22, 2016, May 27, 2016 and July 22, 2016; and

WHEREAS, this amendment corrects budgeted personnel expense in Subtask 3.1 that was erroneously omitted from previous TPC meeting materials; and

WHEREAS, this amendment amends the description and funding amount of the Port Area Mobility Study in Subtask 5.3.

NOW, THEREFORE, BE IT RESOLVED BY THE TRANSPORTATION POLICY COUNCIL, THAT REVISIONS TO THE 2016-2017 UNIFIED PLANNING WORK PROGRAM, AS SHOWN IN THE ATTACHMENT, ARE HEREBY APPROVED AND INCORPORATED INTO THE 2016-2017 UNIFIED PLANNING WORK PROGRAM.

PASSED AND APPROVED, this 23rd day of September, 2016, at a regularly called meeting of the Transportation Policy Council for the Houston-Galveston Transportation Management Area.

APPROVED:

Hon. Matt Sebesta, Chairman
Transportation Policy Council

ATTEST:

Hon. Tom Reid, Secretary
Transportation Policy Council

UPWP AMENDMENT 8 – SEPTEMBER 2016

TASK III CHANGES

Correction of Modification to Personnel Expenses –Modifications of budgeted personnel expenses in the amount of \$180,708 for this task was not correctly shown in the May 2016 TPC meeting materials and associated resolution No. 16/17 UPWP-6.

Task 3 Funding Summary Table – The correct funding changes for Task 3 are displayed in the tables below.

BEFORE AMENDMENTS

Task 3 Funding Summary Table

TASK 3 - FY 2016	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 749,917	\$ 703,205	\$ 289,689	\$ 279,889	\$ 2,022,699
STP - Cat 7	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 2,188,406	\$ -	\$ -	\$ 2,188,406
Other Local	\$ -	\$ 257,700	\$ -	\$ 15,000	\$ 272,700
TOTAL	\$ 749,917	\$ 3,194,311	\$ 289,689	\$ 294,889	\$ 4,528,805
TASK 3 - FY 2017					
	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 532,498	\$ 287,874	\$ 241,187	\$ 181,571	\$ 1,243,130
STP - Cat 7	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 1,509,983	\$ -	\$ -	\$ 1,509,983
Other Local	\$ -	\$ 233,500	\$ -		\$ 233,500
TOTAL	\$ 532,498	\$ 2,076,357	\$ 241,187	\$ 181,571	\$ 3,031,613

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

AFTER AMENDMENTS

Task 3 Funding Summary Table

TASK 3 - FY 2016	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 930,625	\$ 703,205	\$ 289,689	\$ 279,889	\$ 2,203,407
STP - Cat 7	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 2,188,406	\$ -	\$ -	\$ 2,188,406
Other Local	\$ -	\$ 257,700	\$ -	\$ 15,000	\$ 272,700
TOTAL	\$ 930,625	\$ 3,194,311	\$ 289,689	\$ 294,889	\$ 4,709,513
TASK 3 - FY 2017					
TASK 3 - FY 2017	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 532,498	\$ 287,874	\$ 241,187	\$ 181,571	\$ 1,243,130
STP - Cat 7	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 1,509,983	\$ -	\$ -	\$ 1,509,983
Other Local	\$ -	\$ 233,500	\$ -	\$ -	\$ 233,500
TOTAL	\$ 532,498	\$ 2,076,357	\$ 241,187	\$ 181,571	\$ 3,031,613

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

TASK V CHANGES

Work Product 5.3.a11 – Increases amount total amount budgeted by \$100,000 to \$1,600,000 (\$1,600,000 STP, 320,000 TDC), moves all project funding to FY 2017, and modifies the work product description as reflected below.

5.2.a11 Conduct study to assess potential system improvement alternatives for freight movement identified from recommendations from the Regional Goods Movement Study
(Consultant Assistance Needed - \$1,600,000 (\$1,600,000 STP, 320,000 TDC))

Task 5 Funding Summary Table – Total funding for Task 5 increases by \$100,000 as displayed in the tables below.

BEFORE AMENDMENTS

Task 5 Funding Summary Table

TASK 5 - FY 2016	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 109,838	\$ 84,864	\$ 43,447	\$ 238,149
STP - Cat 7	\$ -	\$ -	\$ 800,000	\$ 800,000
TxDOT State Funds	\$ 90,000	\$ -		\$ 90,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ 450,000	\$ 450,000
TOTAL	\$ 199,838	\$ 84,864	\$ 1,293,447	\$ 1,578,149
TASK 5 - FY 2017	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 111,640	\$ 85,999	\$ 43,872	\$ 241,511
STP - Cat 7	\$ -	\$ -	\$ 800,000	\$ 800,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ 450,000	\$ 450,000
TOTAL	\$ 111,640	\$ 85,999	\$ 1,293,872	\$ 1,491,511

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

AFTER AMENDMENTS

Task 5 Funding Summary Table

TASK 5 - FY 2016	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 109,838	\$ 84,864	\$ 43,447	\$ 238,149
STP - Cat 7	\$ -	\$ -	\$ 250,000	\$ 800,000
TxDOT State Funds	\$ 90,000	\$ -		\$ 90,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ 250,000	\$ 450,000
TOTAL	\$ 199,838	\$ 84,864	\$ 543,447	\$ 1,578,149
TASK 5 - FY 2017	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 111,640	\$ 85,999	\$ 43,872	\$ 241,511
STP - Cat 7	\$ -	\$ -	\$ 1,850,000	\$ 800,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ 250,000	\$ 450,000
TOTAL	\$ 111,640	\$ 85,999	\$ 2,143,872	\$ 2,341,511

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

FUNDING SUMMARY CHANGES

- The 2016-17 UPWP Funding Summary Table has been modified to reflect the above-mentioned changes
- Total under-programmed funds have been decreased by \$180,708 to \$3,907,741.

BEFORE AMENDMENTS

2016-17 UPWP Funding Summary

	Task 1 Admin. / Mgmt.	Task 2 Data Modeling & Maintenance	Task 3 Short Range Planning	Task 4 Long-Range Planning	Task 5 Special Studies	TOTAL
FISCAL YEAR 2016	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
FHWA-FTA TPF	\$ 3,026,181	\$ 3,551,183	\$ 2,022,699	\$ 3,137,607	\$ 238,149	\$ 11,975,818
STP - Cat 7	\$ -	\$ -	\$ -	\$ 1,550,000	\$ 800,000	\$ 2,350,000
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ 90,000	\$ 135,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ 56,000	\$ -	\$ 56,000
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 2,188,406	\$ -	\$ -	\$ 2,188,406
Other Local	\$ -	\$ -	\$ 272,700	\$ 347,500	\$ 450,000	\$ 1,070,200
TOTAL	\$ 3,026,181	\$ 3,551,183	\$ 4,528,805	\$ 5,091,107	\$ 1,578,149	\$ 17,956,133

	Task 1 Admin. / Mgmt.	Task 2 Data Modeling & Maintenance	Task 3 Short Range Planning	Task 4 Long-Range Planning	Task 5 Special Studies	TOTAL
FISCAL YEAR 2017	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
FHWA-FTA TPF	\$ 3,044,471	\$ 3,021,237	\$ 1,243,130	\$ 2,567,760	\$ 241,511	\$ 10,118,110
STP - Cat 7	\$ -	\$ -	\$ -	\$ 1,150,000	\$ 800,000	\$ 1,950,000
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 1,509,983	\$ -	\$ -	\$ 1,509,983
Other Local	\$ -	\$ -	\$ 233,500	\$ 272,500	\$ 450,000	\$ 956,000
TOTAL	\$ 3,044,471	\$ 3,021,237	\$ 3,031,613	\$ 3,990,260	\$ 1,491,511	\$ 14,579,093

TRANSPORTATION PLANNING FUNDS (TPF) ONLY

Transportation Planning Funds (FHWA PL-112/FTA 5303) funding estimate: \$ 17,893,804

Estimated FHWA PL-112 Carryover from FY 2014-15: \$ 8,288,574

TOTAL TPF AVAILABLE: \$ 26,182,378

TOTAL TPF PROGRAMED: \$ 22,093,929

UNDER (OVER) PROGRAMMED: \$ 4,088,449

By minute order, the Texas Transportation Commission authorizes the use of transportation development credits as TxDOT's non-Federal share for FHWA (PL-112) and FTA 5303 funds. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

AFTER AMENDMENTS

2016-17 UPWP Funding Summary

	Task 1	Task 2	Task 3	Task 4	Task 5	TOTAL
	Admin. / Mgmt.	Data Modeling & Maintenance	Short Range Planning	Long-Range Planning	Special Studies	
FISCAL YEAR 2016	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
FHWA-FTA TPF	\$ 3,026,181	\$ 3,551,183	\$ 2,203,407	\$ 3,137,607	\$ 238,149	\$ 12,156,527
STP - Cat 7	\$ -	\$ -	\$ -	\$ 1,550,000	\$ 250,000	\$ 1,800,000
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ 90,000	\$ 135,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ 56,000	\$ -	\$ 56,000
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 2,188,406	\$ -	\$ -	\$ 2,188,406
Other Local	\$ -	\$ -	\$ 272,700	\$ 347,500	\$ 250,000	\$ 870,200
TOTAL	\$ 3,026,181	\$ 3,551,183	\$ 4,709,513	\$ 5,091,107	\$ 828,149	\$ 17,206,133

	Task 1	Task 2	Task 3	Task 4	Task 5	TOTAL
	Admin. / Mgmt.	Data Modeling & Maintenance	Short Range Planning	Long-Range Planning	Special Studies	
FISCAL YEAR 2017	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
FHWA-FTA TPF	\$ 3,044,471	\$ 3,021,237	\$ 1,243,130	\$ 2,567,760	\$ 241,511	\$ 10,118,110
STP - Cat 7	\$ -	\$ -	\$ -	\$ 1,150,000	\$ 1,850,000	\$ 3,000,000
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 1,509,983	\$ -	\$ -	\$ 1,509,983
Other Local	\$ -	\$ -	\$ 233,500	\$ 272,500	\$ 250,000	\$ 756,000
TOTAL	\$ 3,044,471	\$ 3,021,237	\$ 3,031,613	\$ 3,990,260	\$ 2,341,511	\$ 15,429,093

TRANSPORTATION PLANNING FUNDS (TPF) ONLY

Transportation Planning Funds (FHWA PL-112/FTA 5303) funding estimate: \$ 17,893,804

Estimated FHWA PL-112 Carryover from FY 2014-15: \$ 8,288,574

TOTAL TPF AVAILABLE: \$ 26,182,378

TOTAL TPF PROGRAMED: \$ 22,274,637

UNDER (OVER) PROGRAMMED: \$ 3,907,741

By minute order, the Texas Transportation Commission authorizes the use of transportation development credits as TxDOT's non-Federal share for FHWA (PL-112) and FTA 5303 funds. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.